

Codeswitching and predictability of meaning in discourse

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## Abstract

What motivates a fluent bilingual speaker to switch languages within a single utterance? We propose a novel discourse-functional motivation: less predictable, high information-content meanings are encoded in one language, and more predictable, lower information-content meanings are encoded in another language. Switches to a speaker's less frequently used, and hence more salient, language offer a distinct encoding that highlights information-rich material that comprehenders should attend to especially carefully. Using a corpus of natural Czech-English bilingual discourse, we test this hypothesis against an extensive set of control factors from sociolinguistic, psycholinguistic, and discourse-functional lines of research using mixed-effects logistic regression, in the first such quantitative multifactorial investigation of codeswitching in discourse. We find, using a Shannon guessing game to quantify predictability of meanings in conversation, that words with difficult-to-guess meanings are indeed more likely to be codeswitch sites, and that this is in fact one of the most highly explanatory factors in predicting the occurrence of codeswitching in our data. We argue that choice of language thus serves as a formal marker of information content in discourse, along with familiar means such as prosody and syntax. We further argue for the utility of rigorous, multifactorial approaches to sociolinguistic speaker choice phenomena in natural conversation.\*

Keywords: codeswitching, bilingualism, discourse, predictability, audience design, statistical modeling

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**1. INTRODUCTION.** In an early sketch of language contact, André Martinet observed that in multilingual speech, choice of language is not dissimilar to the “choice[s] among lexical riches and expressive resources” available in monolingual speech (1953:vii). In codeswitching situations, multilingual speakers are faced with a continual choice between roughly meaning-equivalent alternatives from each language. What governs this choice when meanings can be expressed equally well in either of two languages? One line of explanation is that in both monolingual and multilingual contexts, choices between distinct linguistic forms have informative functions in the larger, interactive discourse context. Many of these functions have to do with the flow of information between participants: for example, important, less predictable, or conversationally confrontational meanings might be marked by a distinct or more extensive linguistic encoding (e.g. Fox & Thompson, 2010; Jaeger, 2010; Karrebaek, 2003, among many others). When multiple languages are available, each one may serve as a distinct encoding of this kind. One factor governing the choice between languages, then, might be a need to signal meanings that are less predictable in context and thus carry more information. We hypothesize that there is a tendency for these less predictable, high information-content meanings to be encoded in one language, and for more predictable, lower information-content meanings to be encoded in another language. In this way, switches to a speaker’s less frequent, and hence more salient, language offer a distinct encoding that serves to highlight information-rich material that must be especially carefully attended to.

The status of codeswitching as a speaker choice, as well as its potential correlation with information content of meanings, is illustrated in the following instance of Czech-English codeswitching from a speech community in California. The speaker is persuading his bilingual interlocutor not to go out with a particular woman:

(1) Tady vidíš že ona je **in need**.

‘Here you see that she is **in need**.’

(2) A potřebuje **entertainment**.

‘And she needs **entertainment**.’

The concept of **NEED** is expressed in both English and Czech, by the same speaker, in two consecutive clauses. One contextual property that differs between the two tokens, however, is the amount of relevant prior information given in the discourse. In (1), the concept of **NEED**, encoded in English, is being mentioned for the first time and thus represents a highly informative, discourse-new predicate. In (2), in contrast, the concept, now expressed in Czech as *potřebuje* ‘needs,’ has just been mentioned in the immediately preceding clause, and so does not carry new information. The new piece of information in (2), namely the object of **NEED**, is expressed in English as *entertainment*. In both clauses, then, low

information content material is encoded in Czech, and high information content material is encoded in English, regardless of the particular concept being expressed. This pattern is consistent with our hypothesis that language choice in codeswitching is a formal marker of information content, with switches to the less frequent—and thus more salient—language (here, English) serving as a cue to less predictable meanings that comprehenders must attend to especially carefully.

The paper has three objectives. The first is to develop a formal account of codeswitching and information content. We build on discourse-functional explanations in which choices between forms carry out conversational functions such as marking information-structural status or simply “importance” of certain material (e.g. Karrebaek, 2003:431). To make these conceptualizations of information concrete and testable, we employ MEANING PREDICTABILITY as a reflection of a word’s information content in context: the less predictable a word’s meaning, the more information that word carries, and the higher its probability of receiving a distinct encoding by means of a codeswitch. Information-theoretic metrics derived from predictability (Shannon, 1948) correlate with other speaker choices from phonetics to (morpho-)syntax and discourse (Aylett & Turk, 2004; Bell et al., 2003; Genzel & Charniak, 2002; Jaeger, 2006, 2010; Komagata, 2003; Levy & Jaeger, 2007; Mahowald et al., 2013; Piantadosi et al., 2011; Qian & Jaeger, 2012; Tily & Piantadosi, 2009; Tily et al., 2009). A more complete description of this approach is given in Section 3.4.

The second objective of the paper is to test this meaning-predictability account of codeswitching against multiple control factors inspired by insights from several disciplines. Sociolinguistic, discourse-functional, and psycholinguistic traditions offer potentially compelling explanations of codeswitching, but these generally do not systematically consider multiple factors in codeswitching. Using multifactorial statistical techniques, we investigate for the first time the respective contributions of an extensive, cross-disciplinary range of factors long hypothesized to inform codeswitching.

The third objective of the paper is to bridge a methodological gap in existing codeswitching research between observational and experimental methods, by analyzing a naturalistic dataset of spontaneous speech using rigorous statistical methods. Many observational studies to date focus on small numbers of individual instances of codeswitching rather than making statistical generalizations about codeswitching or the speech community under investigation. In experimental settings, on the other hand, codeswitching behavior is markedly different than in its natural discourse habitat (discussed below in Section 4), and may be further distorted by exposure to probability distributions that are unusual in natural language, such as uniform distributions resulting from balanced designs, rather than, for example, Zipfian distributions more typical to

naturalistic use (Jaeger, 2010). We thus argue for rigorous corpus-driven approaches to codeswitching research, building on similar methodological advances in monolingual settings (e.g. Gahl, 2008; Gries & Wulff, 2005; Szmrecsanyi, 2005; Jaeger, 2010; Tagliamonte, 2006; Wasow, 2002).

The paper is structured as follows. Section 2 surveys the sociolinguistic, psycholinguistic, and discourse-functional control factors in our analysis. Section 3 builds on discourse-functional insights to propose a meaning-predictability account of codeswitching. Section 4 introduces the dataset of spontaneous discourse. Section 5 describes an experiment to estimate the predictability of words in conversation. Section 6 presents the results of the logistic regression model testing the predictability account against control factors. Section 7 discusses the generalizability of the results, and Section 8 concludes.

**2. DEFINING CODESWITCHING.** We adopt a definition of codeswitching as the alternation of multiple languages within a single discourse, sentence, or constituent (e.g. Poplack, 1980) by FULLY PROFICIENT MULTILINGUALS.<sup>1</sup> We focus exclusively on contexts where switching is a true SPEAKER CHOICE between alternatives with (near-)equivalent truth-conditional meaning: in other words, there is no dependence between the language of a particular word and the literal state of affairs communicated by it. One hallmark of this situation is reference to the same object by the same speaker in different languages, implying that differences in proficiency or meaning in either language are not at play. To be sure, language choice may be imbued with metaphorical and social meaning (e.g., Gumperz & Hymes, 1986), and indeed this is one of the factors discussed below that are hypothesized to govern choices between truth-conditionally equivalent forms. This assumption of (near-)equivalence in truth-conditional meaning is implicit in most codeswitching research, although some researchers make it explicit by comparing language choice in codeswitching to synonym choice in monolingual speech (Gollan & Ferreira, 2009; Martinet, 1953; Moreno et al., 2002; Sridhar & Sridhar, 1980).

**3. WHY CODESWITCH?.** In this section, we introduce the existing sociocultural, psycholinguistic, and discourse-functional explanations to be evaluated alongside our meaning-predictability proposal in answering the question: why switch between languages when the truth-conditional meanings offered by each are essentially equivalent?

**3.1. SOCIOCULTURAL FACTORS.** In sociocultural approaches, language switching is a resource that can be used to construct identity, modulate social distance and affiliation, and carry out interspeaker accommodation (Beebe & Giles, 1984). For example, codeswitching itself may be the unmarked choice for a community in which speakers

maintain affiliation with two different socioethnic groups simultaneously (Myers-Scotton, 1993b). However, these accounts generally do not make explicit, word-by-word predictions of language choice—and it is indeed antithetical to some of these approaches to assume fixed, predictable functions of codeswitching not individually constructed in the local context of each switch (Bailey, 2000). Nevertheless, if codeswitching is a tool to signal affiliation with social groups, codeswitching patterns should depend in part on the participants present and their social affiliations. For example, young, English-dominant speakers may be expected to switch to Spanish more often when older, Spanish-dominant speakers are present and the younger speakers wish to accommodate them or show affiliation. Participant constellation—the social makeup of the group of participants present in a discourse episode—is thus a testable factor affecting language choice in these sociocultural approaches.

**3.2. PSYCHOLINGUISTIC FACTORS.** Psycholinguistic approaches to codeswitching, in contrast, traditionally treat language choice as a largely AUTOMATIC function of speaker-internal production circumstances, unaffected by discourse-functional goals or conscious control. Most models of bilingual production parallel standard models of monolingual production, in which messages are first formulated before passing through a stage of lexical (lemma) selection followed by morphophonological encoding and finally articulation (e.g. Levelt, 1999; see Ferreira & Slevc, 2007 and Ferreira, 2010 for reviews of such models). These models assume that bilinguals have a single conceptual store shared by both languages, and that language selection takes place later during the lexical selection phase of production, either through higher activation of a lemma in one language, or through failure to inhibit the lemma in that language (for discussion, see e.g. Costa & Santesteban, 2004; Marian, 2009). In this section, we review the factors that may affect lexical activation (or inhibition) in each language, beginning with baseline lexical accessibility before turning to contextual and syntactic factors.

**BASELINE LEXICAL ACCESSIBILITY.** A common intuition is that a speaker will choose the language in which the desired word first comes to mind (e.g., Gollan & Ferreira, 2009). All else being equal, then, lexical selection among multiple languages is subject to each (language-specific) lemma's BASELINE ACCESSIBILITY — how easily it can be retrieved from the lexicon for production, irrespective of context. Since higher word frequency and shorter length each increase accessibility (D'Amico et al., 2001; Forster & Chambers, 1973), multilingual speakers may be more likely to use the language in which the relevant word is shorter or more frequent (Heredia & Altarriba, 2001).

A related word-inherent property is the way its meaning is stored in the bilingual lexicon. In the standard models of bilingual production described above, bilinguals first

access meanings from a single semantic system, and subsequently choose a language during lexical selection. An alternative view is the semantic system is only *partially* shared across languages: nouns are stored in a common system, but verbs and other words reside in LANGUAGE-SPECIFIC parts of the semantic system, since these words elicit slower and less consistent associations across languages (Marian, 2009; Van Hell & de Groot, 1998). This makes nouns more “portable,” or switchable, a prediction that is consistent with observations that they are the word class most frequently codeswitched (e.g. Myers-Scotton, 1993a) and borrowed (Muysken, 2000), followed by verbs and then other parts of speech. Nouns are thus predicted to be codeswitched most often, followed by verbs and then by other words.

Similarly, concreteness and imageability, in addition to part of speech, affect lexical accessibility in the bilingual lexicon. Concrete, highly imageable words such as *tiger* are translated faster and elicit more reliable cross-linguistic priming (Van Hell & de Groot, 1998) than abstract words such as *liberty*, suggesting that concrete words are more integrated in the bilingual lexicon than abstract words. Because of this tighter integration, concrete words’ translation equivalents are more likely to be co-activated in production than abstract words’ translation equivalents, predicting greater probability of codeswitching for concrete, imageable words than for abstract words (Marian, 2009).

LEXICAL & SYNTACTIC CONTEXTUAL FACTORS.. In addition to the above properties of the event of a single word’s production, properties of the context also affect bilingual lexical activation and thus the probability of codeswitching. One of these is language-specific LEXICAL COHESION: Munoa (1997) and Angermeyer (2002) observe that lexical items often persist in their original language of mention, even if the embedding stretch of discourse is in a different language. This persistence of language choice may serve to bolster cohesive ties to previous mentions (Angermeyer, 2002) and/or result from automatic priming, in which activation of language-specific lemmas facilitates subsequent productions in the same language (Kootstra et al., 2009). Thus, words are likely to reoccur in their language of most recent mention.

Another contextual factor in language choice is TRIGGERING. Trigger words, such as the proper noun *California*, may be stored in completely shared representations across language systems. When a trigger is produced, it increases the activation of the second language, thereby increasing the probability that the next word is a codeswitch (Clyne, 1991, 2003; Riehl, 2005). Trigger words comprise three types: proper nouns, phonologically unintegrated loanwords from the second language, and bilingual homophones. This last category consists of words from different languages that are pronounced identically, such as Dutch *smal* ‘narrow’ and English *small* (Clyne, 2003:164). Broersma & de Bot (2006) and



Broersma (2009) revise the original triggering hypothesis to take entire clauses, rather than bigrams, as speech planning units, and indeed observe facilitation of codeswitching if a trigger word is present anywhere in the clause rather than just immediately adjacent the potential switch site.

A third contextual factor in language choice is language-internal collocational strength between words. Backus (2003) argues that sequences of words that often co-occur in one language are accessed as units and are therefore unlikely codeswitch sites. Thus a codeswitch from, say, English to Spanish within a strong collocation such as *all over the place* (e.g. *all over **el lugar***) is less likely than a codeswitch within a weaker collocation such as *all over the city* (e.g. *all over **la ciudad***).

The final contextual factor we examine<sup>2</sup> in probability of codeswitching is syntactic dependency distance. In an extension of DEPENDENCY LOCALITY THEORY (Gibson, 1998, 2000), Eppler (2011) provides evidence from spontaneous German-English codeswitching that the greater the number of intervening words between a potentially codeswitched word and its syntactic governor, the more difficult it is to track the (language-specific) dependency due to memory constraints, and therefore the less likely the word is to match its syntactic governor in language choice. Together with other contextual factors outlined above, as well as inherent properties of a word's lexical accessibility, these factors reflect a broad set of speaker-internal psycholinguistic production circumstances that may inform codeswitching behavior.

**3.3. DISCOURSE-FUNCTIONAL FACTORS.** In the final class of explanations of codeswitching, discourse-functional approaches, codeswitching serves to signal contrasts between portions of speech. In other words, switches are CONTEXTUALIZATION CUES in the sense of (Gumperz, 1982:131), with wide-ranging discourse functions such as clarification, emphasis, or qualification of information (e.g. marking some material as a parenthesis, personal comment, or reported speech, even in a language other than that of the original speech) (Auer 1995:120; Gumperz; 1982:79, Zentella, 1997). For example, de Rooij (2000) observes that discourse markers occur predominantly in French in a Shaba Swahili-French codeswitching dataset, and argues that this strategy functions to increase the salience of these discourse markers, since they occur in the less frequent, and thus more salient, language in this community.

One key class of discourse functions of codeswitching centers explicitly on the information status of concepts. One function within this class is the signaling of new discourse topics (Munoa, 1997; Zentella, 1997). Munoa (1997) reports that new topics can be signaled by Spanish noun phrases in otherwise Basque clauses, as in the following example in which the question of restroom availability in various venues is under discussion:

(3) Fabrika baten ere da **un servicio al público**.

‘A factory is also **a public service**.’

PUBLIC SERVICE is introduced with a codeswitch to Spanish, and the conversation subsequently turns to examples and characteristics of public services, rather than continuing with the topic of restrooms. Since, as Munoa argues, PUBLIC SERVICE could easily have been expressed in Basque, the codeswitch is best explained as functioning to mark a new topic.

Codeswitching may also serve as a strategy to contrast topic and focus elements in discourse. Romaine (1989:162) collects cases of codeswitch boundaries corresponding to topic-focus boundaries, including French-Russian (Timm, 1978), English-Spanish and English-Hindi (Gumperz, 1982:79), and Hebrew-English (Doron, 1983). Ritchie & Bhatia (2004) contribute an additional Hindi-English example. Consider Gumperz’s (1982) English-Hindi case:

(4) Bina veṭ kiye ap a gæ?

‘Without waiting you came?’

(5) Nehī, I came to the bus stop **nau bis pəččis pər**.

‘No, I came to the bus stop **about nine twenty-five**.’

The speaker in (5) first reprises the topic of COMING in English before switching to Hindi for the focused TIME OF ARRIVAL, thus demarcating information status through language choice. Codeswitching may also conspire with other topic-marking strategies: Franceschini (1998) reports cases of fronted, topicalized noun phrases spoken in Swiss German with Italian predicates, while Nishimura (1989) observes topic elements in Japanese accompanied by the usual topic-marking particle *wa*, but followed by English comments.

Karrebaek (2003) asks whether particular languages must be stably associated with topic or focus within discourse episodes. In her data, Turkish and Danish are interchangeable in their status as topic-marker or focus-marker, suggesting that in some cases it is codeswitching itself that carries out the topic-focus marking function, rather than language-specific associations. Karrebæk concludes that it is simply the “important” discourse information that receives a different language encoding than its immediate context (2003:431). In summary, a variety of observed cases suggests that language choice marks information status of concepts.

Important questions remain, however, regarding the systematicity of the correlation between information structure and language choice. First, because these arguments have, to our knowledge, exclusively been made on the basis of individual tokens of codeswitching, it is unclear whether the correlation is reliable even within single speakers, let alone across

entire speech communities. As we argue below, if codeswitching is to serve discourse functions for the benefit of comprehenders, some systematicity would help them learn and draw the right inferences about the information-structural functions of switches (Section 8.1).

Second, and perhaps more crucial from a theoretical point of view, it is also unclear whether any precise informational principle unites the studies above; instead information status (variously construed) is argued for on a case-by-case basis within each study, and large numbers of more ambiguous examples are excluded from the analyses (e.g. Karrebaek, 2003:431). As a result, evidence for the correlation between information status and language choice is limited to a small number of examples selected for ease of subjective information-structural analysis. An alternative approach is to adopt a more precise operationalization of information that can be straightforwardly tested across entire datasets. In the next section, we argue for PREDICTABILITY of meanings as such a metric.

**3.4. MEANING PREDICTABILITY & SPEAKER CHOICE PHENOMENA.** In line with the discourse-functional accounts of codeswitching described above, a ubiquitous intuition in accounts of speaker choice phenomena is that some content is more important or informative than other content, and it is this disparity that governs choices between alternant linguistic forms: important material receives the “more explicit, more distinct, or more extensive encoding” (Karrebaek 2003:431; see also e.g. Givon, 1985:206). In order to test these accounts, however, we need an explicit, objective operationalization of importance or informativity. In this section, we argue for predictability of meanings as a useful metric of information, since (i) it is a building block in many theories of information structure, (ii) it is objectively measurable, and (iii) it correlates with a wide range of speaker choice phenomena. We discuss these properties in turn below.

First, numerous theories of information structure characterize information in terms of predictability, starting from the intuition that the more predictable some content, the less (new) information it contains. Classic information-structural distinctions such as TOPIC VS. FOCUS and GIVEN VS. NEW have long been cast in these terms: Prince (1981), following Halliday (1967), Halliday & Hasan (1976), and Kuno (1972, 1978, 1979), includes a predictability dimension in her definition of given information, classifying information as given if the speaker assumes the hearer can predict it. Topic-focus structure has also been defined in terms of predictability: according to Lambrecht (1994:6), topic and focus refer to the relative predictability of the relations between propositions and their elements in a given discourse situation. Although we certainly do not propose to reduce all information-structural categories to predictability, it is clearly relevant to information-structural distinctions which have been claimed to inform language choice in

codeswitching.

A second attractive property of predictability is that it is objectively measurable, through, for example, Cloze methodology (Taylor, 1953), and it interfaces naturally with the mathematical framework of Information Theory (Shannon, 1948). Here information is inversely related to probability in context: the less probable certain material, the higher its information content. Formally, the information content  $I$  (or SURPRISAL; Hale, 2001, Levy, 2008) of the meaning  $m$  of a unit of an utterance is the logarithm-transformed inverse of the probability of  $m$  in context:

$$I(m) = \log_2 \frac{1}{P(m|\text{context})} \quad (6)$$

Surprisal is positively correlated with human processing difficulty (Demberg & Keller, 2008; Smith & Levy, 2013), providing evidence that comprehenders are sensitive to predictability of meanings.

Finally, not only does predictability affect comprehension, but it also affects production: when multiple grammatical options are available, speakers choose to convey less predictable meanings with distinct or more extensive encodings, thus distributing information uniformly across the linguistic signal to the extent possible (UNIFORM INFORMATION DENSITY, Jaeger, 2006, 2010; Levy & Jaeger, 2007). Phonetic duration and articulatory detail are reduced for meanings with high predictability, both at the level of syllables (Aylett & Turk, 2004) and words (Bell et al., 2003; Tily et al., 2009). Word lengths, too, are optimized such that the more predictable a meaning in context, the shorter the word conveying it (Piantadosi et al., 2011; Mahowald et al., 2013). Speakers choose contractions over full variants when the meanings conveyed are more predictable (Frank & Jaeger, 2008). Referring expression choice is similarly correlated with surprisal, so that pronouns are chosen over noun phrases for more predictable referents (Tily & Piantadosi, 2009). In syntax, optional *that* is mentioned when upcoming complement or relative clauses are least expected, thus distributing the surprisal associated with these clause onsets across an additional word and minimizing peaks in information density (Jaeger, 2006, 2010; Wasow et al., 2011). Komagata (2003) argues that word order is also sensitive to a preference for a uniform distribution of information. At the discourse level, the more contextual information that precedes a sentence, the greater the sentence’s unpredictability in isolation, suggesting that information is distributed uniformly across discourses (ENTROPY RATE CONSTANCY, Genzel & Charniak, 2002; Qian & Jaeger, 2012). Predictability, in sum, is not only relevant to information structure and objectively measurable, but it also affects speaker choices in language production.

What underlies this correlation between unpredictability of meanings and more

extensive or distinct encodings? On the assumption that message transmission is a noisy channel between interlocutors, one explanation is that speakers take their interlocutors' knowledge state into account and choose more extensive encodings to allow more detailed processing of unpredictable meanings that have an inherently higher risk of miscommunication (AUDIENCE DESIGN; see discussion in Jaeger, 2010). In the next section, we relate this correlation between predictability and speaker choice to the functional, information-based motivations for codeswitching introduced in Section 3.3.

**4. A MEANING-PREDICTABILITY ACCOUNT OF CODESWITCHING.** Predictability of meanings is correlated with speaker choice, so that less predictable, more informative meanings receive a more extensive or distinct encoding. Codeswitching is a choice that allows for these distinct encodings. If codeswitching indeed serves to highlight important information, less predictable, more informative meanings should be codeswitch sites. In other words, the less predictable a meaning, the more likely a codeswitch.

What is the communicative function of choosing a distinct language encoding for less predictable information? The strategy may be motivated by audience design: speakers choose more salient encodings in order to highlight less expected information and potentially minimize risk of miscommunication. The distinct encoding available through a language switch may direct comprehender attention to less predictable material, thus serving as a comprehension cue analogous to morphemic topic markers or topicalization through syntactic fronting. This cue can be made even more salient if the DIRECTION of the switch is taken into account: since codeswitchers generally use only one language for the majority of words (Grosjean, 1997; Myers-Scotton, 1993a), words from a speaker's less frequently-used language offer a more salient encoding by virtue of relative rarity (an argument related to the one by de Rooij [2000]; see our Section 3.3). This leads to a more specific prediction: a switch to a speaker's less frequent and therefore more salient language may alert comprehenders to high-information content that must be especially carefully attended to.

One supporting mechanism for this process may be the phonological distinctiveness of alternant languages in codeswitching. Codeswitching is characterized by total alternation not only between grammatical systems but also between phonological systems (e.g. Grosjean & Miller, 1994; Sankoff & Poplack, 1981). Distinctive phonology of a codeswitched word may therefore serve as a low-level cue of encoding difference even before the word is completed by the speaker and fully processed by the comprehender. Suggestive evidence is provided by a gating study by Li (1996): participants were asked to guess (codeswitched or non-codeswitched) words on the basis of increasingly long fragments of the word, and guesses converged on the correct language before the full word was correctly

identified. Further, anticipatory phonetic signatures of impending codeswitches appear on some words immediately preceding switch boundaries, and comprehenders may be sensitive to these markers (Piccinini, 2012; Weiss et al., 2009). In this way, phonological cues of other-language encoding are available at multiple points before an initial codeswitched word is fully processed, potentially alerting comprehenders to allocate more attention in anticipation of an unpredictable meaning.

However, one may counter that a language switch itself is costly to process, and may consume whatever extra resources are needed to process an already difficult-to-predict meaning. Some studies report this kind of language switch cost in comprehension: for example, Proverbio, Leoni & Zani (2004) observe longer reaction times and increased N400 amplitudes for codeswitched words in a sensibility judgment task in reading. A number of factors mitigate such switch costs, however. First, task effects are relevant: in *auditory* comprehension tasks, which better replicate the natural conversational locus of codeswitching than do reading tasks, codeswitched words in sentential contexts are recognized as quickly as non-codeswitched words (Li, 1996) (possibly thanks to the phonological cues discussed above). Second, discourse-level context facilitates processing of codeswitching. Chan, Chau & Hoosain (1983) report that reading times for entire mixed-language passages were the same as those for equivalent monolingual passages. Third, accurate expectations for upcoming codeswitches are likely to reduce switch costs. Moreno, Federmeier & Kutas (2002) argue that the enhanced late positivity (LPC) they observe for codeswitched words is reduced when comprehenders find the switch less unexpected. Indeed, when switch locations become predictable, LPC switch costs are not observed at all (Proverbio et al., 2004). Thus switch costs appear to be reduced or absent in auditory processing, rich discourse contexts, and situations in which switches are relatively predictable, supporting codeswitching as a viable comprehension cue.

In sum, communicative principles underlying both discourse-functional accounts and meaning-predictability accounts suggest that more informative, less predictable meanings should receive a distinct or more extensive encoding. In multilingual situations, a speaker's lesser-used language offers this more salient encoding and may serve as a comprehension cue to direct attention to less-expected meanings. This account of codeswitching thus predicts that words conveying unpredictable meanings should be codeswitch sites.

**5. DATA.** The data for this study consist of three hours of spontaneous Czech-English conversation among five proficient bilinguals of Czech heritage living in California. Two of the bilingual speakers, ages 55 and 60, were monolingual in Czech until immigrating to the United States in their early thirties and learning English, but remain Czech dominant. A third speaker, 33, was monolingual in Czech until moving to the United States and

beginning English acquisition at age 5 and subsequently becoming English dominant. The final two speakers, 20 and 26, were born in the United States and are English-dominant but used Czech in family interaction and occasional socializing with Czech friends in the United States since childhood. Participants gave a blanket consent to have their conversations recorded at unannounced intervals during a two-month period, and were therefore unaware of specific recording times until after the fact. Following the two-month period, each participant had the option of reviewing the recordings and requesting deletion of any portion thereof.

The three hours of Czech-English conversation in the final dataset are distributed as follows. One hour, representing three different conversations, consists of interaction between all of the speakers. Another hour (four conversations) is limited to the two older speakers only. The final hour (three conversations) consists of one-on-one interaction between the youngest speaker and each of the older speakers (approximately half an hour each).

The data were collected and transcribed by the first author for an unrelated project prior to the formulation of the current research question, following the methods in DuBois et al. (1993). Each line consists of one INTONATION UNIT (IU), a sequence of words produced under a single, coherent intonational contour (Chafe, 1987, 1994). Intonation Units are perceptual units distinguished through (1) pitch-resets, (2) final-word lengthening, (3) intensity changes, (4) pauses, and/or (5) changes in voice quality. Although IUs are defined with respect to these perceptual auditory features and not syntactic features, they generally emerge as approximate clause-equivalents and are, according to Chafe, cognitive units in discourse each containing no more than one new idea (1987:32). Shenk (2006) shows IUs to be relevant units in codeswitched discourse, finding that 96% of codeswitches in a one-hour corpus of Spanish-English codeswitching correspond to Intonation Unit boundaries.

**5.1. LANGUAGE DISTRIBUTION WITHIN INTONATION UNITS.** Of the 3,201 IUs comprising the current dataset, approximately 52% are monolingual Czech IUs, 25% are monolingual English IUs, and 23% are mixed-language IUs (see Table 1). Czech→English switches are by far the more common switch type, and switching typically occurs late in the IU: the most frequent switch is a single, final-word switch to English.

[INSERT TABLE 1 ABOUT HERE]

**5.2. ITEMS FOR ANALYSIS.** The distribution of codeswitches in the corpus is given in Table 1. The current analysis, however, focuses on a particular class of speaker and codeswitch. Only those Intonation Units produced by the two older speakers are included

as critical items, since these speakers are the most fully proficient bilinguals, and are therefore the least likely to switch languages for reasons of incomplete proficiency in either language. Further, they have equivalent language backgrounds and are each fully proficient in Czech, while the younger speakers vary much more dramatically in their Czech proficiencies. This is reflected in one way by the proportion of monolingual Czech IUs produced by each speaker: for the older speakers, it ranges from 71-74%, and for the younger speakers, it ranges from 12-40%. The older speakers are also the most prolific intrasentential codeswitchers, together producing 81% of the mixed-language IUs in the corpus, and are the only speakers participating in all ten conversations.

A final point of homogeneity among the older speakers is codeswitch position within IUs. To quantify this, we can define a normalized IU-position metric

$$\text{IU position} = \frac{\text{Word number} - 1}{\text{Number of words in IU} - 1} \quad (7)$$

so that 0 corresponds to initial words and 1 to final words, with all words equidistant from each other. For the older speakers, the median IU position of English words was consistently 1 (interquartile ranges: 0.39 and 0.50), whereas younger speakers had medians of 0.67, 0.78, and 1.0 (IQRs = 0.60, 0.50, 0.38). In other words, the older speakers have a strong and consistent tendency toward one type of codeswitch: a final, single-word switch from Czech to English. These are the switches investigated here.

We investigate the relative contributions of meaning predictability and other control factors to propensity to codeswitch by posing the following question of our data: when a fully bilingual speaker has produced an IU entirely in Czech from the first through the penultimate word, how likely is she to produce the final word in English? Therefore, our crucial items for analysis were all older-speaker IUs that begin in Czech and either (i) feature a final, single-word switch to English (SWITCH ITEMS,  $n = 253$ ) or (ii) do not contain any codeswitch (NON-SWITCH ITEMS,  $n = 472$ ). To confirm that the final word of a given non-switched IU was in principle switchable, we asked the original speakers to replace the final Czech word in their own utterances with a single-word switch to English, and in all cases the speakers found this possible. We further verified that none of these potential switch sites violated hypothesized grammatical constraints on switching (Myers-Scotton, 2002; Poplack, 1980). In other words, ALL AND ONLY items with an actual or potential single-word IU-final codeswitch to English were considered. Each speaker contributed roughly equivalent proportions of switch and non-switch items. Precise counts and examples are provided in Table 2.

[INSERT TABLE 2 ABOUT HERE]



**6. METHODS.** To test for an effect of meaning predictability while controlling for other factors known to affect codeswitching, we employ binary logistic regression. The dependent variable is presence (1) or absence (0) of codeswitching (that is, whether each item is a switch item or a non-switch item as described above), and the independent variables include meaning predictability and 10 control factors. Operationalization of these control factors, which were introduced in Section 3, is described with respect to the current dataset in Section 6.1 below; Section 6.2 describes how we estimate meaning predictability in discourse. Table 3 summarizes all factors in the logistic regression.

### 6.1. CONTROL FACTORS.

**PARTICIPANT CONSTELLATION.** Since speakers may codeswitch in order to accommodate other participants' preferences or establish affiliation to various social groups, codeswitching behavior may vary as a function of the participants present in a given conversational episode. In the current dataset, **PARTICIPANT CONSTELLATION** has two levels reflecting the presence or absence of younger, United States-born participants in the conversation. More codeswitching to English on the part of the older speakers is expected when any younger participant is present.

**BASELINE LEXICAL ACCESSIBILITY.** Speakers are expected to choose the language where the relevant word is more accessible. We determined accessibility levels for the final word in each switch- and non-switch item, since these are the potential switch sites (see Section 5.2). Since all codeswitches are to English, greater accessibility of the final word in English predicts the item to be a switch item, and greater accessibility of the final word in Czech predicts the item to be a non-switch item.

The first operationalization of accessibility was **WORD FREQUENCY**.<sup>3</sup> The general frequencies of attested final words in their original language were compared with the frequencies of their translation equivalents in the other language—that is, the frequencies of the words that would have been spoken had the speaker made the opposite language choice in each case. Translation equivalents were determined in consultation with the original speakers by reviewing the transcripts of the conversations and asking speakers what they would have said had they chosen the opposite language for the final word of each item. Frequencies per million for each word and translation equivalent were determined using the CELEX database for English (Baayen et al., 1995) and the SYN2010 portion of the Czech National Corpus for Czech (Hajic, 2004; Jelinek, 2008; Spoustova et al., 2007; Petkevic, 2006). Frequencies of the original and translation-equivalent words were highly correlated:  $r(725) = 0.92, p < 0.001$ , providing evidence that the translation equivalents are reasonable. In order to compare frequency-based lexical accessibility of

attested words to their translation equivalents in the linear model, the log-transformed RELATIVE FREQUENCY RATIO  $r$  (Damerau, 1993) was computed for each item:

$$r = \log \left( \frac{\text{English relative frequency}}{\text{Czech relative frequency}} \right) \quad (8)$$

Thus greater relative frequency ratios reflect greater accessibility in English and predict occurrence of English (that is, switch) items.<sup>4</sup>

Accessibility was also operationalized as WORD LENGTH in syllables, with the expectation that speakers should prefer the language in which the relevant word is shorter and thus more easily produced. Syllable count was determined for English words again using CELEX, and for Czech simply by counting the number of orthographic vowels. A length difference score was computed by subtracting each item's Czech syllable count from its English syllable count. Here a SMALLER difference score predicts switching to English, since smaller difference scores imply longer, and thus less accessible, Czech words.

A final suite of accessibility metrics captures ease of codeswitching generally, and does not depend on direct between-language competition in the way that frequency and length above do. These include IMAGEABILITY, CONCRETENESS, and PART OF SPEECH. More imageable and concrete words are argued to share more semantic features across languages in the bilingual lexicon, and thus more readily lend themselves to codeswitching (Marian, 2009). Similarly, nouns are the most easily transferable part of speech between languages, followed by verbs and then other parts of speech. Part of speech was annotated manually for each switch and non-switch item. Imageability and concreteness along a 100-700 scale for each item were determined by merging available norming databases: for imageability, Altarriba, Bauer & Benvenuto (1999); Coltheart (1981); Friendly, Franklin, Hoffman & Rubin (1982); Stadthagen-Gonzalez & Davis (2006); and for concreteness, Altarriba, Bauer & Benvenuto (1999); Coltheart (1981); Friendly, Franklin, Hoffman & Rubin (1982). Where multiple databases reported different values for an item, these were simply averaged.

LEXICAL CONTEXTUAL FACTORS. Two lexical contextual factors were taken into account. First, speakers may be more likely to codeswitch if they have just produced a trigger word (proper noun, phonologically unintegrated loanword, or bilingual homophone; see Section 3.2). For each switch and non-switch item, the presence of this kind of triggering was coded in a three-level factor capturing the various levels of the triggering hypothesis: NONE, for cases where there is no trigger word in the clause containing the potential codeswitch; CLAUSE TRIGGER, for cases with a trigger present anywhere in this clause; and IMMEDIATE TRIGGER, for cases where a trigger occurs just prior to the potential switch. For example, (9) contains a trigger—the proper noun *Vista*, a city name—in the clause containing the potential codeswitch, but the trigger does not immediately precede the

potential switch site (*daleko* ‘far’). Thus it contains a clause trigger:

- (9) Vista už je daleko.  
 ‘Vista by now is far.’

The trigger in (10), the proper noun *Huckabee*, in contrast, is an immediate trigger, since it directly precedes the potential switch site *babka* ‘lady’:

- (10) A nebo mám jít za Huckabee babka?  
 ‘Or should I go to the Huckabee lady?’

All words falling into any of the three trigger-word categories described in Section 3.2 were manually coded as triggers (see Appendix A for complete list). Immediate triggers are predicted to result in more switching than clause triggers, and clause triggers are predicted to result in more switching than no trigger.

The second lexical contextual factor was lexical cohesion. Speakers may converge on a particular language for certain referents, regardless of the embedding language of each mention of the referent. The factor LEXICAL COHESION encodes the most recently used language for the critical word in each switch and non-switch item. For each potentially switched word, we determined whether the word or its translation equivalent (Section 6.1.2) had already occurred at some point in the current conversation; if so, we encoded the language of the word’s most recent mention before the potential switch site (CZECH or ENGLISH), and if not, recorded NONE. Continuity is expected, so that an ENGLISH most-recent mention predicts a word to be spoken in English (that is, be a codeswitch) and a CZECH most-recent mention predicts another Czech instance (that is, a non-switch). This factor also helps control for symbolic cultural associations in which certain referents are overwhelmingly associated with a particular language within a speech community, as well as speaker-specific idiosyncratic preference for a given word to be realized in a particular language

SYNTACTIC CONTEXTUAL FACTORS. The final class of control factors consists of COLLOCATIONAL STRENGTH and DEPENDENCY DISTANCE. The greater the collocational strength of a pair of words within a single language, the more likely those words are to be accessed as a unit, and the more likely they are to be produced in the same language (Backus, 2003). For each potential codeswitch, we compute the monolingual, Czech collocational strength between (i) the word immediately preceding the potential switch and (ii) either the non-switched, Czech word, or the Czech translation equivalent of the switched, English word. High values indicate strong Czech unitary status of the two words, and predict that no switch to English will be made for the second word.

As our measure of collocational strength between  $WORD_1$  and  $WORD_2$ , we employ a metric from associative learning theory,  $\Delta P$ , defined as follows:<sup>5</sup>

$$\Delta P_{2|1} = P(w_i = word_2 | w_{i-1} = word_1) - P(w_i = word_2 | w_{i-1} \neq word_1) \quad (11)$$

$\Delta P_{2|1}$  is the probability of an outcome ( $word_2$ ) given that a cue ( $word_1$ ) is present, minus the probability of that outcome given that the cue is absent. When these probabilities are the same, there is no covariation, and  $\Delta P = 0$ . As the presence of the cue increases the likelihood of the outcome,  $\Delta P$  approaches 1, and as it decreases the likelihood,  $\Delta P$  approaches  $-1$ . For the current study,  $\Delta P$  was computed using relative frequency estimation from the SYN2010 portion of the Czech National Corpus (Hajic, 2004; Jelinek, 2008; Petkevic, 2006; Spoustova et al., 2007). For each potentially codeswitched word and the word immediately preceding it, we computed both rightward and leftward  $\Delta P$  (how strongly a word predicts a collocate to the right or to the left, respectively), and entered two operationalizations of collocational strength into the logistic regression: (1) rightward  $\Delta P$ , which captures a directional, sequential planning view of production, and (2) the maximum of rightward and leftward  $\Delta P$ , which treats bigrams as planning units and ignores directionality.<sup>6</sup>

The second syntactic control factor is dependency distance. Longer dependency distances between a word and its syntactic governor may increase the probability that the word is codeswitched (Eppler, 2011). A `DEPENDENCY DISTANCE` factor therefore reflects the (hand-annotated) number of words from the final word of each potential switch Intonation Unit to its syntactic governor (so that a word whose governor is adjacent to it would be coded as 1), following the coding principles described in Eppler (2011).<sup>7</sup> Further, because the dependency distance hypothesis is undefined for words that are their own syntactic governors, a binary variable `SYNTACTIC GOVERNOR` captures whether the potentially switched word is the head word of the sentence and thus its own governor (and dependency distance was arbitrarily coded as 0 in these cases), allowing the logistic regression model to fit an arbitrary effect for head words of sentences which is separate from the effect of dependency distance.<sup>8</sup> These predictors complete the set of control factors included in the model.

[INSERT TABLE 3 ABOUT HERE]

**6.2. ESTIMATING PREDICTABILITY OF MEANINGS IN NATURAL DISCOURSE.** The variable of primary theoretical interest is the predictability of the meanings conveyed by potentially codeswitched words. For reasons of practicality, predictability estimation for speaker choice

phenomena often makes use of  $n$ -gram models to calculate probabilities of events in context (Frank & Jaeger, 2008; Jaeger, 2006; Levy & Jaeger, 2007). However,  $n$ -grams are not suited for our study, since they unlikely to capture the discourse-level information structure that we hypothesize to influence speaker choice of language. Instead, we used a novel variant of the Shannon guessing game to estimate meaning predictability (Shannon, 1951). In the original experiment, a participant was asked to guess entire passages of printed English letter by letter, and must have correctly guessed the current letter before moving on to the next letter, with the assumption that the more guesses required for a given letter, the more information carried by that letter. We build on recent adaptations of the Shannon game that have correlated unpredictability with linguistic variation at the word level: Manin (2006) asked participants to guess missing words in literary passages, and found that unpredictability was positively correlated with word length, while in Tily & Piantadosi (2009), participants instead guessed upcoming referents, with the result that more predictable referents tended to be encoded by pronouns rather than full noun phrases.

**6.3. A SHANNON GAME FOR CONVERSATION.** In order to estimate the predictability of the meanings of potentially codeswitched words in the corpus, we adapted the Shannon game methodology for auditory discourse context. Participants listened to the ten conversational episodes comprising the Czech-English codeswitching corpus and were asked to guess missing, Intonation Unit-final words. Since the property of interest was the predictability of language-independent MEANINGS, participants could guess meanings using either language. The predictability of each item would then be estimated based on the rate of correct guesses.

**PARTICIPANTS..** A new set of eleven bilingual guessers, approximately demographically and sociolinguistically matched to the original speakers, was recruited at a Czech-American cultural event in the city where the original speakers reside. Like the original speakers, all guessers were native speakers of Czech born in Czechoslovakia, had begun learning English in early adulthood, and had been living in the United States for several years at the time of participation. This information is summarized in Table 4.

[INSERT TABLE 4 ABOUT HERE]

**MATERIALS..** For each of the ten conversational episodes, each critical Intonation Unit-final word (see Section 5.2) was replaced by an auditory tone cueing participants to guess the missing word. We predetermined the set of correct responses for each item as the originally attested word and its translation equivalent in the other language (see Section 6.1.2).

PROCEDURE. In a web experiment, participants listened to each conversational episode and were asked to submit guesses in either language for missing words. They could not move on until they had either correctly guessed the missing word or its translation equivalent, or they had submitted six incorrect guesses. Participants could replay the current item, as well as up to two items preceding it, as many times as they wished. After guessing an item correctly or submitting six guesses, they heard the complete Intonation Unit with the original missing word now intact, followed by the next part of the discourse up to the next critical item. In this way, participants had access to the entire episode of natural discourse in making their guesses. This procedure is exemplified in Figure 1. In an exit survey, we asked participants what they thought was being investigated and then what kinds of words they found easiest and hardest to guess.

[INSERT FIGURE 1 ABOUT HERE]

#### 6.4. PREDICTABILITY STUDY RESULTS.

EXIT SURVEY. While no participant was explicitly aware of the exact experimental manipulation, several did spontaneously mention language encoding when about easiest and hardest words. For easy words, in addition to *short words*, *simple words*, *repetitions*, *common expressions*, and *words with previously understood meanings*, two participants mentioned *Czech words*, and no participant mentioned *English words*. For difficult words, conversely, participants offered *long words*, *new ideas*, and *slang*, and the two participants who mentioned *Czech words* as easy to guess mentioned *English words* as difficult to guess. No participant offered *Czech words* as a response for difficult-to-guess items.

PREDICTABILITY OF CRITICAL ITEMS. Turning to the quantitative results, guessing was completed for 49 individual conversations (reflecting all 10 unique conversations in the corpus), totaling 3,458 sets of guesses, where a set is defined as all of the guesses given by a single participant for a single IU. For each IU, the proportion of participants who had correctly guessed the word within six attempts was computed. Consistent with intuitions expressed in the exit survey, switch items (those that had been spoken in English) were more difficult to guess than non-switch items (those that had been spoken in Czech). On average, the meanings of switch items were correctly guessed by 25.4% of participants ( $sd = 34.4\%$ ), while the meanings of non-switch items were correctly guessed by 41.9% of participants ( $sd = 35.8\%$ ). These results are broken down into cumulative probability by guess number in Figure 2.

[INSERT FIGURE 2 ABOUT HERE]

For inclusion as a factor in the binary logistic regression, we defined the UNPREDICTABILITY  $U$  of the meaning  $m$  of each (potentially) codeswitched word as the difference between 1 and the proportion of participants who had correctly guessed the word within six attempts, among those who had provided guesses for the IU:<sup>9</sup>

$$U(m) = 1 - P(\text{guessed}) \quad (12)$$

Thus items that were correctly guessed by most or all participants have  $U$  near or at zero, indicating low information content, and items that were correctly guessed by very few or no participants have  $U$  near or at 1, indicating high information content. Observed values for  $U$  ranged from 0 to 1, with mean 0.64 ( $sd = 0.36$ ); in other words, some critical meanings were correctly guessed by all participants and some were not correctly guessed by any participants, and the average item was correctly guessed by just over half of the participants.

**CODESWITCH EXPECTATION.** The Shannon game data allow for the investigation of one additional quantity of interest. Accurate comprehender expectations of upcoming codeswitches may mitigate so-called processing ‘switch costs,’ which increases the plausibility of the hypothesis that codeswitches are not unequivocally burdensome to process, and may indeed serve as a useful comprehension cue (Section 4). The language in which a guesser expects the next word to occur may be inferred through the language of her first guess: if she expects an English word, she may be more likely to submit her first guess in English. Consequently, if comprehenders are correctly anticipating language choice, IUs that indeed ended with a switch to English should have higher proportions (across participants) of first guesses submitted in English. The percentage of English first guesses for items that were spoken in English was 38.4 ( $sd = 25.7$ ), and the proportion of English first guesses for items that were spoken in Czech was 23.6 ( $sd = 23.2$ ), a significant difference according to a  $t$ -test:  $t(473) = 7.7$ ,  $p < 0.0001$ . That is, although there was an overall baseline trend for guesses to be given in Czech (71%), there was a reliable pattern above and beyond this baseline for guesses to be given in the language in which the item was spoken.

**7. MULTIFACTORIAL RESULTS.** We tested effects of predictability of meaning on language choice through a procedure similar to that used in other research on predictability effects on speaker choice (Jaeger, 2006, 2010), first developing a parsimonious logistic regression model (Agresti, 2002) of the effects of control factors and then assessing the predictive value of meaning predictability on codeswitching behavior above and beyond the effects of the control predictors. We describe our modeling procedure in more detail in Section 7.1 and

then report results of the control factors Section 7.2. We test meaning predictability effects separately for each individual speaker in Section 7.3 and then investigate the strength of evidence for generalizability of these effects beyond the speakers studied here in Section 7.4.

**7.1. MODELING PROCEDURE.** We first provide a brief overview of our modeling procedure; full details are given in Appendix B. All predictors were centered and standardized so that categorical variables had a mean of 0 and a difference of 1 between levels, and continuous variables had a mean of 0 and a standard deviation of 0.5. Because values for two of our control factors, imageability and concreteness, were not available for roughly 20% of cases, we estimated these values on the basis of the other factors in the dataset using multiple imputation (Harrell, 2001).<sup>10</sup>

We first developed a parsimonious model of our control factors against which to subsequently evaluate the effect of meaning predictability. To develop this model, we used a genetic algorithm (Calcagno & de Mazancourt, 2010) to search efficiently through the space of possible models including up to two-factor interactions, optimizing for the Bayesian Information Criterion (BIC). Since both the genetic algorithm and multiple imputation are stochastic processes, we repeated the entire modeling routine 10 times, and in the sections below report results from the final iteration. We observed no qualitative differences over these 10 runs in results relating to meaning predictability.

This model selection process allowed us to explore a large space of possible interaction terms among the base predictors, checking for any effects that could explain away the meaning-predictability effect in our data. However, as Harrell (2001) and others have described, model selection can have negative consequences for Type I error and for interpretation of the coefficients associated with predictors operated upon by model selection. While this concern does not apply to meaning predictability, since it was not included in the model selection process, it could warrant caution in interpreting the results of the control predictors. However, in this case we are reasonably confident in the general pattern of control factor results: a model including all base control predictors, plus the significant interactions identified by the model selection process, resulted in virtually the same set of significant control factors as did model selection. Results of this model are reported in Appendix C.

In Sections 7.2 and 7.3, we investigate meaning predictability effects separately for each speaker by fitting a model with control factors selected by the genetic algorithm, and an individual meaning-predictability parameter for each speaker (but no random effects). A result summary for this model (reflecting the last of 10 iterations of the entire routine) is reported in Table 5. No signs of substantial collinearity were present in the final model; all correlations between fixed effects were very low (all  $|r| < 0.25$ ). We address the issue of



generalizability across speakers in Section 7.4.

**7.2. CONTROL FACTOR RESULTS.** The results of each of the control factors are discussed in turn below. The response variable was coded as 0 for Czech/non-switch, and 1 for English/switch.

**PARTICIPANT CONSTELLATION.** Consistent with sociolinguistic accounts of codeswitching as a tool to modulate social affiliation and accommodate interlocutor preferences, the older speakers codeswitched to English less often when the younger, and less Czech-dominant, speakers were not present ( $\beta = -1.06, z = -4.0, p < 0.0001$  in the final model).

**FREQUENCY.** The relative frequency ratio between Czech words and their English equivalents was not selected by the genetic algorithm for inclusion in the final model; thus there is no evidence that speakers choose the language in which a word is more frequent. This result provides a first empirical test of this hypothesis (Heredia & Altarriba, 2001). Interestingly, it is consistent with studies of the effect of frequency on optional *that*-mention, where the effect is weak or absent (Ferreira & Dell, 2000; Jaeger, 2010).

[INSERT TABLE 5 ABOUT HERE]

**WORD LENGTH.** The difference in number of syllables between the English and Czech equivalents of a word was a reliable predictor of language choice, with speakers generally opting for the shorter alternative ( $\beta = -0.76, z = -3.6, p < 0.01$ ). This is consistent with an account in which shorter words are more accessible (D’Amico et al., 2001) and thus more likely to be selected for production.

**IMAGEABILITY.** Imageability was not selected for inclusion by the genetic algorithm. This result reflects a first empirical test of another largely untested hypothesis—in this case, that the role of imageability in TRANSFER of structures between languages is equivalently relevant in CODESWITCHING (Marian, 2009).

**CONCRETENESS.** Consistent with the hypothesis (Marian, 2009) that concrete words’ semantic representations are more tightly linked across languages, leading to easier switching, more concrete words were more likely to be codeswitched ( $\beta = 0.90, z = 3.5, p < 0.001$ ).

**PART OF SPEECH.** We replicate a robust finding: nouns are the most likely words to be switched (e.g. Marian, 2009; Muysken, 2000; Myers-Scotton, 1993a). With words that are neither nouns nor verbs as the baseline level of PART OF SPEECH, nouns are more likely to

be codeswitched ( $\beta = 0.53, z = 2.0, p < 0.05$ ), and verbs less ( $\beta = -2.30, z = -6.8, p < 0.0001$ ).

**LEXICAL COHESION.** Consistent with findings that referents continue to occur in the same language throughout a discourse episode (Angermeyer, 2002; Munoa, 1997), prior mention of a referent in English strongly predicted subsequent mention in English ( $\beta = 0.92, z = 3.3, p < 0.0001$ ), while a prior Czech mention predicted subsequent Czech mention ( $\beta = -1.12, z = 2.7, p < 0.01$ ), relative to a baseline where there is no prior mention of the referent.

**TRIGGERING.** Triggers (proper nouns, phonologically unintegrated loanwords, and bilingual homophones) are claimed to be stored in shared representations across language systems that increase the activation of the second language. Consequently, words immediately following a trigger, or following a trigger within a single clause, were predicted to be codeswitch sites (Broersma, 2009; Broersma & de Bot, 2006; Clyne, 1991). However, this factor did not reach significance. One potential explanation is the low variability in the data for this factor, making statistical power difficult to achieve (see Table 3 for summary statistics).

**DEPENDENCY DISTANCE.** Dependency distance to a word's syntactic governor was not a significant factor in language choice. The hypothesis has elsewhere been tested on only a single, German-English dataset so far (Eppler, 2011), and its nonsignificance here may reflect its specificity to a particular speech community or language pair. However, as in the case of triggering, this could also be the result of the low variability in the data for this factor.

**COLLOCATIONAL STRENGTH.** Finally, collocational strength was also not a significant predictor: codeswitches are no less likely given strong collocational association with the preceding word, with either rightward or maximum  $\Delta P$ . This result thus reflects the first quantitative test of the hypothesis. Once more, however, variability in the data is low for this factor.

**LENGTH : SYNTACTIC GOVERNOR.** Two unpredicted interactions emerged from model selection by the genetic algorithm. First, **SYNTACTIC GOVERNOR** interacted with **LENGTH**: when the potentially switched word was its own syntactic governor, the tendency to choose the language with the shorter variant was weaker ( $\beta = 2.23, z = 2.7, p < 0.01$ ). We investigated this interaction by reparameterizing the model with separate length parameters for self-governors and non-self-governors. For non-self-governors, the tendency

to choose the language with the shorter word was significant ( $\beta = -0.76, z = -3.60, p < 0.001$ ), whereas for self-governors, the trend was in the opposite direction and was only marginally significant ( $\beta = 1.50, z = 1.86, p = 0.06$ ). This result was not predicted, but it is consistent with uniform information density, which holds that speakers encode less predictable material with longer forms (Section 3.4). If we assume that governors contain more information than non-governors, it is reasonable not to prefer short encodings (assuming also that this information is independent of what is captured by our unpredictability metric). Note also that we neither predicted nor observed a main effect of SYNTACTIC GOVERNOR: language choice was not directly affected by whether a word was its own governor.

**PARTICIPANTS : CONCRETENESS.** In the second unpredicted interaction, speakers are especially likely to codeswitch concrete words when the younger speakers are present ( $\beta = -1.47, z = -3.1, p < 0.01$ ). The direction of this interaction is not surprising: the presence of English speakers magnifies the older Czech speakers' existing tendencies to switch to English.

In summary, well-established effects were replicated in the current statistical model (PART OF SPEECH and LEXICAL COHESION), as well as PARTICIPANT CONSTELLATION, WORD LENGTH and CONCRETENESS, despite their simultaneous inclusion for the first time in a multifactorial analysis of naturalistic data. Those factors that were not statistically significant were either previously untested in codeswitched discourse (FREQUENCY, IMAGEABILITY), or statistically less well-supported in the codeswitching literature AND low in variability in the current dataset, limiting statistical power (TRIGGERING, DEPENDENCY DISTANCE, COLLOCATIONAL STRENGTH). Given this general validation of previous codeswitching research as well as the current data and model, we now turn to the variable of primary theoretical interest, UNPREDICTABILITY of the meanings of potentially codeswitched words.

**7.3. MEANING PREDICTABILITY EFFECTS.** As predicted, for each speaker UNPREDICTABILITY emerged as a significant factor in language choice: greater unpredictability of meaning was associated with increased probability of codeswitching (Speaker 1:  $\beta = 0.61, z = 1.9, p = 0.06$ ; Speaker 2:  $\beta = 1.76, z = 5.8, p < 0.0001$ ). This tendency is stronger for one speaker than the other; a likelihood ratio test justifies the current model's speaker-specific UNPREDICTABILITY parameters rather than a single UNPREDICTABILITY parameter plus a SPEAKER parameter ( $\chi^2_{\Delta(\Lambda)}(1) = 5.1, p < 0.05$ ). Relative to a model with no UNPREDICTABILITY factor, both a model with a single UNPREDICTABILITY factor and a model with a SPEAKER parameter and speaker-specific UNPREDICTABILITY parameters are more explanatory ( $\chi^2_{\Delta(\Lambda)}(1) = 37.2, p < 0.0001$ ;

$\chi^2_{\Delta(\Lambda)}(3) = 45.7, p < 0.0001$ , respectively); in either case, UNPREDICTABILITY makes the second-largest contribution to the model’s overall likelihood, surpassed only by PART OF SPEECH ( $\chi^2_{\Delta(\Lambda)}(1) = 148.8, p < 0.0001$ ). Thus not only does each speaker in this dataset tend to codeswitch at points of high meaning unpredictability, but, on the basis of model likelihood, this is actually one of the most highly explanatory predictors of switching behavior.

**7.4. SPEAKER-SPECIFIC EFFECTS & GENERALIZABILITY.** Section 7.3 shows that our dataset contains evidence for our hypothesized effect of meaning predictability on codeswitching in each individual speaker—this evidence was highly significant for Speaker 2 and, at  $p = 0.06$ , marginally significant for Speaker 1. To the extent that our central research question is viewed as whether THESE PARTICULAR SPEAKERS in THIS PARTICULAR SPEECH COMMUNITY show evidence for this effect—an interpretation that would be natural in, for example, some research traditions in sociolinguistics—our result is relatively strong. However, an alternative interpretation of our research question is equally natural. Suppose that we view these speakers as a random sample of the overall population of all Czech-English bilingual codeswitchers, and assume that individuals in this larger overall population vary idiosyncratically in the relationship between meaning predictability and codeswitching behavior. Under these assumptions, what is the strength of evidence in our dataset that the AVERAGE EFFECT of meaning predictability on codeswitching is in the direction we hypothesized—that is, how strong is our evidence that the effect we observe in our two speakers generalizes to the wider population of Czech-English bilingual codeswitchers?

Clark (1973) and Barr et al. (2013) have argued that this type of question needs to be addressed by a statistical test in which idiosyncratic variability in sensitivity to the theoretically critical predictor, here meaning predictability, must be included in the null hypothesis. Such a test can be carried out by using a mixed-effects logistic regression model (Baayen et al., 2008; Jaeger, 2008) with a random by-speaker slope for the effect of meaning predictability on codeswitching behavior.<sup>11,12</sup> Following Barr et al. (2013), we use a likelihood ratio test comparing models differing only in the presence versus absence of a FIXED effect of meaning predictability; both the null- and alternative-hypothesis models contain by-speaker random intercepts and random slopes for meaning predictability (jointly normally distributed with unconstrained covariance matrix), and all control factors used in the single-level logistic regression model reported in Sections 7.2 and 7.3.<sup>13</sup> In the two models, results of control factors were virtually identical, but the magnitude of random by-speaker effects were larger in the null-hypothesis model (Table 6). The likelihood ratio test found the alternative-hypothesis model (fixed effect AND by-speaker slopes) to be

significantly more explanatory than the null-hypothesis (by-speaker slopes only) model in 7 of the 10 iterations of our overall modeling routine (see Section 7.1;

$\chi^2_{\Delta(\Lambda)}(1) = 4.54, p = 0.03$  in the final iteration, and  $p \leq 0.07$  in all ten iterations).<sup>14</sup> These results suggest that the effect of meaning predictability on codeswitching generalizes beyond the current speakers; we return to this issue in the General Discussion.

[INSERT TABLE 6 ABOUT HERE]

**7.5. SUMMARY OF MULTIFACTORIAL RESULTS.** A wide variety of monofactorial explanations of codeswitching behavior were operationalized and included in the logistic regression model, and previously-reported results were largely replicated for the first time in a multifactorial analysis. Even taking these control factors into account, unpredictability of meaning emerged as a significant predictor of codeswitching, and was indeed the second most explanatory variable in the model, following part of speech. This correlation was reliable within individual speakers, and there is also evidence that it generalizes to other speakers. A separate analysis revealed that comprehenders correctly anticipate language choice in codeswitched discourse.

**8. GENERAL DISCUSSION.** Our primary objective was to test the hypothesis that multilingual speakers codeswitch words that carry a high amount of information in discourse, based on the predictability of these words' meanings. On the basis of a corpus of spontaneous Czech-English conversation, this pattern was indeed reliably observed and in fact emerged as a key explanatory factor in codeswitching behavior. This is consistent with the claim that codeswitches to a speaker's less frequent, and hence more salient language, offer a distinct encoding that serves to highlight meanings of low predictability in discourse.

The paper had three subsidiary objectives. The first was to relate this account to discourse-functional accounts of codeswitching and to other speaker choice phenomena predicated on information and predictability. The second goal was to investigate for the first time the relationships between a cross-disciplinarily motivated set of hypothesized factors in language choice. The third objective was to bridge a methodological gap in codeswitching research by analyzing spontaneous natural data with rigorous statistical modeling. We discuss each of these objectives in turn.

**8.1. MEANING PREDICTABILITY & LANGUAGE CHOICE.** On the discourse-functional accounts of codeswitching described in Section 3.3, language choice serves to highlight important information in conversation (Gumperz, 1982; Karrebaek, 2003; Romaine, 1989; de Rooij, 2000). We showed that language choice is indeed correlated with one formal operationalization of importance or information content, namely the predictability of

meaning in context. This underscores the status of codeswitching as a speaker choice, since not only is it essentially independent of truth-conditional meaning in the cases we consider (Section 2), but its correlation with predictability of meanings is similar to that of other speaker choices such as, for example, optional complementizer mentioning or referring expression type (Section 3.4).

In this sense, the codeswitching patterns described here add to a long-observed correlation between marked forms and marked meanings. In the current case of codeswitching, the markedness of the form comes not from its complexity, but from its frequency: less expected meanings are conveyed in the less frequently-used language. The pattern is analogous to other cases in which an equally complex, but less frequent form is selected for a marked meaning, such as word order freezing in languages with free word order languages (e.g. Lee, 2003; Tomlin, 1986) or topicalization in English-like languages (e.g. Chafe, 1976; Halliday, 1967; Prince, 1984).

Why should marked forms correlate with marked meanings? More specifically, why would the choice to codeswitch be sensitive to meaning predictability? Our explanation is in line with audience design accounts of production, in which speakers take their interlocutors' knowledge state into consideration and make choices that minimize risk of miscommunication or processing burden. Switching to the less frequent, and therefore more salient, language encoding, functions to highlight new or important information that comprehenders must attend to especially carefully. In other words, the change in linguistic form is a comprehension cue alerting comprehenders to allocate more attentional resources to the current word since its information content is high, a strategy that may facilitate processing or reduce risk of miscommunication. The formal properties of the switch itself may not be especially difficult to process in real-world circumstances: studies of codeswitching using auditory and discourse contexts find few or no switch costs (Section 4), and we find that comprehender expectations of switch sites are accurate, easing processing (Sections 4 and 6.4).

One critique of audience design accounts, however, is that their predictions are sometimes indistinguishable from speaker-centric accessibility accounts. For example, Fukumura & van Gompel (2012) argue that speakers do not use their addressee's discourse model when choosing between producing a pronoun or a definite noun phrase, and instead choose on the basis of the referent's accessibility in their own memory or discourse model (which is often nevertheless highly correlated with their interlocutor's model). The case of codeswitching, however, bears on this debate without being straightforwardly subject to this critique. Unlike with phenomena such as referring expression choice, *that*-mentioning, and others in which speakers ultimately REDUCE THEIR OWN EFFORT (Section 3.4), switching languages may not, all things being equal, be LESS COSTLY for a speaker than

staying in the same language (although see e.g. Gollan et al., in press, for factors that mitigate switch costs in production). In other words, on average, it may not be efficient from a speaker-centric perspective to switch languages for unpredictable meanings. Further work is needed, however, to explicitly investigate potential interactions of audience design and speaker-internal factors in codeswitching.

Of course, for speakers' tendency to codeswitch for unpredictable meanings to benefit listeners, listeners must know that this tendency exists. This knowledge could be acquired in several ways. First, a comprehender could rapidly learn the correlation for the current speaker, even if they are members of different speech communities. Consistent with this hypothesis, although a majority of participants in the guessing game had not previously interacted with the speakers, in the exit survey several of them did spontaneously suggest that codeswitched, English words were the hardest to guess, and no guesser suggested that non-switched, Czech words were the hardest to guess. Second, this knowledge could be tacitly shared among members of a particular speech community. A final possibility is that the correlation is general across all codeswitching behavior, and comprehenders are unlikely to encounter speakers who do not exhibit it. In our study, we provide evidence that the correlation between language choice and predictability is consistent within individual speakers, lending plausibility to at least the first scenario above. We also provide some evidence that the tendency may be a general property of a larger population from which our speakers were drawn, suggesting that the correlation may indeed extend within or beyond speech communities (a hypothesis also supported by the wide range of languages for which similar phenomena have been reported; Section 3.3); more work is necessary to investigate this possibility.

Other questions of generalizability concern the particular mapping of languages to information content. We have suggested that it is the less frequent language that encodes less predictable meanings, consistent with a related argument by de Rooij (2000). In principle, however, it is possible that other factors underlie the particular mapping of language to meaning predictability, or that no specific mapping is necessary, if it is simply distinctiveness of encoding that is relevant to comprehension (as suggested by Karrebaek, 2003). However, this distinctiveness may hold only when there is a substantial asymmetry between the frequency of use of languages; it is an empirical question whether codeswitching can become 'too unmarked' to function in the way argued here.<sup>15</sup> This motivates future work on prevalence and exchangeability of languages in the correlation with meaning predictability.

**8.2. MULTIPLE FACTORS IN CODESWITCHING.** The second objective of this paper was to test the meaning-predictability account of codeswitching against control factors from

multiple disciplines as well as to investigate the interrelationships among these factors. This approach allows for an expanded view of why multilinguals codeswitch: since previous studies did not involve multifactorial statistical analysis, little could be said about relative effect sizes, potential interactions, and epiphenomenality. Reassuringly, most previously proposed factors in codeswitching were replicated in the current study, and indeed, one of the most widely cited constraints on codeswitching, part of speech, made the largest contribution to the model's likelihood.

The multifactorial approach does, however, reveal an interesting split between discourse-related and speaker-internal motivations for codeswitching. Factors explicitly focusing on internal lexical accessibility, such as frequency, imageability, concreteness, and triggering, were in general less explanatory than discourse-related factors such as lexical cohesion, participant constellation, and meaning predictability. Since these most explanatory factors are inherently tied to conversational context, this result underscores the importance of attention to context-specific production circumstances and thus the utility of rich discourse data in understanding codeswitching in its natural habitat.

This result also highlights a more far-reaching theoretical consequence of current methodological practice in psycholinguistics. Evidence for the effects of inherent properties of linguistic forms (frequency, animacy, and so on) on language production primarily comes from decontextualized tasks such as isolated word production. However, in naturally occurring, contextualized speech, these factors have a markedly weaker, if at all significant, effect (Jaeger, 2006, 2010). As we discuss in the next section, therefore, a shift toward more sophisticated methodologies is needed to understand the critical influence of linguistic context on speakers' preferences during language production.

**8.3. RIGOROUS APPROACHES TO NATURAL DISCOURSE.** The third objective of this paper was to combine the ecological validity of naturalistic discourse data with a rigorous quantitative methodology. This is especially important given that codeswitching behavior differs in crucial ways between laboratory paradigms and spoken discourse, the natural locus of codeswitching (Section 4). We believe the approaches developed in the paper are useful in two ways. First, the specific problem of estimating meaning predictability in natural conversation is not straightforward, and it is our hope that the auditory conversational Shannon game developed here will inspire other rigorous yet ecologically valid approaches. Second and more generally, we hope the combination of methodologies applied here advances the use of CONVERGING EVIDENCE in psycholinguistic research; Gries et al. (2005) and Wulff et al. (2009), among others, argue for the value of this practice in correctly interpreting evidence from any individual methodology.



**9. CONCLUSIONS.** This study investigated a central question in codeswitching research: what motivates speakers to switch languages when truth-conditional meaning is equivalent in both languages? Based on a corpus of spontaneous Czech-English conversation, we tested accounts from sociolinguistic, discourse-functional, and psycholinguistic research traditions simultaneously using mixed-effects logistic regression. Most of these previously reported monofactorial effects were replicated in the model, although support was in general stronger for factors of codeswitching related to higher-order discourse contexts than speaker-internal production circumstances. The key exception was the part of speech of potentially switched words, a widely-cited constraint on codeswitching that made the largest contribution to our model’s likelihood.

Novel evidence was provided in support of the predictability of a word’s meaning as a determinant of codeswitching, such that speakers codeswitch at points of high information content. Meaning predictability, as estimated through a Shannon guessing game developed for our Czech-English corpus, made the second largest contribution to the model’s likelihood. These results are consistent with an audience-design view of codeswitching: switches to a speaker’s less frequent language offer a distinct and potentially more salient encoding for meanings of low predictability; thus one reason speakers alternate between meaning-equivalent forms may be to highlight certain material as particularly important or informative. In this way, along similar lines as other speaker choice phenomena such as prosody and word order, codeswitching may be understood as a formal linguistic marker of information content in discourse.

**A. APPENDIX A: TRIGGER WORDS.** Trigger words (Sections 3.2 and 6.1.3) were coded manually by the first author. All proper nouns were coded as trigger words; these (anonymized) were *Nick*, *Huckabee*, *Vista*, *Simba*, *Van Nostrand*, *Vincent*, *Jack*, *Michael*, *Chip*, *Valley West*, and *Nováková*. Phonologically unintegrated loanwords were also coded as triggers, and included *trolleycar* and *bar*. Finally, all tokens of the single bilingual homophone in the corpus, the discourse marker *no* ‘well,’ were also coded as triggers.

**B. APPENDIX B: DETAILS ON MODELING PROCEDURES.** In this section, we provide details on the logistic regression models presented in Section 7. We first discuss our missing-value imputation procedures, then turn to our model selection routine, and finally discuss how we tested the critical meaning-predictability factor against these factors and investigated its generalizability beyond the speakers included in this study. The complete process is summarized in Figure 3. Note that statistics from the final iteration of these procedures are presented in Section 7.

**B.1. MULTIPLE IMPUTATION.** Because imageability and concreteness ratings were not available for 155 and 192 items, respectively, of the 725 items in our dataset, we estimate these values from the other predictors and the response variable using MULTIPLE IMPUTATION (Harrell, 2001). We use the `aregImpute()` function in the R package `Hmisc` for multiple imputation (Harrell & Dupont, 2012; Team, 2012). This function imputes missing values of predictors  $X_1 \dots X_m$  by first estimating via multiple regression the conditional distribution of a given predictor  $X_i$  given the remaining predictors  $X_1, \dots, X_{i-1}, X_{i+1}, \dots, X_m, Y$  (where  $Y$  is the dependent variable), and then drawing values of  $X_i$  observed elsewhere in the dataset that have high conditional probability based on the regression model. The function cycles through the set of predictors so that each predictor is successively used as the response variable in the regression-based imputation process, akin to the Markov Chain Monte Carlo method of Gibbs sampling. We use the `aregImpute()` default of three “burn-in” cycles through our predictor set, and then cycle through our predictor set  $j$  more times, each cycle producing a set of imputed values for missing observations in our data. We set  $j = 10$ , resulting in ten “complete” versions of our dataset  $D_1 \dots D_{10}$ , each with potentially different values imputed for missing imageability and concreteness ratings.

There are two “top-level” settings that govern the behavior of `aregImpute()`. The `nk` setting determines the number of knots to used for the spline basis for continuous predictors in the imputation process, with `nk = 0` forcing linearity and `nk = 3` allowing restricted cubic splines. The `match` setting determines how imputed values of  $X_i$  are selected from the conditional distribution given  $X_1, \dots, X_{i-1}, X_{i+1}, \dots, X_m, Y$ : `weighted` draws from previously observed values with multinomial probabilities monotonically descending in distance from the predicted mean of the regression, whereas `closest` deterministically selects the previously observed value closest to the predicted mean. To determine which combination of settings to use, we tried the four logically possible combinations of values for these two arguments, and found that `nk = 3` and `match = "weighted"` yielded the lowest squared error in prediction of non-missing values within our dataset. We therefore used these settings to impute missing values as described in the preceding paragraph.

**B.2. SELECTING CONTROL FACTORS.** We now turn to selecting significant control factors against which to test unpredictability of meaning. Since multiple imputation as implemented in `aregImpute()` (see Section B.1 above) is a stochastic process, it gives us multiple (in our case, ten) “complete” versions of our dataset, each with a unique set of estimates of missing values. This means that for each dataset, a different linear model may be selected as best by a model selection routine, and there is currently no general consensus on variable selection with multiply imputed data (for discussion, see Wood et al.,

2008). We therefore follow the heuristic developed in this section.

[INSERT FIGURE 3 ABOUT HERE]

For each of the datasets  $D_1 \dots D_{10}$ , we used a model selection routine to determine the best set of control factors. We used a genetic algorithm (R package `glmulti`; Calcagno & de Mazancourt, 2010) to search efficiently through the space of possible logit models that include some subset of base control predictors (including `SPEAKER`) and their pairwise interactions, optimizing for BIC.<sup>16</sup> For each of the ten datasets, the algorithm was run three times, and of the three resulting ‘best’ models, the one with the lowest BIC was selected as the final model for that dataset. The most frequent of these models, selected for six of the ten datasets, was:

$$\begin{aligned} \text{response} &\sim \text{LEXICAL COHESION} + \text{PARTICIPANTS} + \\ &\text{PART OF SPEECH} + \text{LENGTH} + \text{CONCRETENESS} + \text{LENGTH} : \\ &\text{SYNTACTIC GOVERNOR} + \text{PARTICIPANTS} : \text{CONCRETENESS} \end{aligned} \quad (13)$$

An identical model, but without `CONCRETENESS` and the two interactions, was selected for the remaining four datasets.

**B.3. TESTING MEANING PREDICTABILITY EFFECTS.** With the set of control factors now finalized for each dataset, we proceeded to test effects of meaning predictability by comparing the best control model to one including the `UNPREDICTABILITY` factor using a likelihood ratio test. For all 10 datasets, this factor significantly improved explanatory power.

We also investigated individual speaker effects of meaning predictability. First, we test whether the speakers in our dataset differ from each other in how strongly meaning predictability affects language choice: in each dataset, on the basis of a likelihood ratio test, including separate speaker parameters for meaning unpredictability significantly increases explanatory power over including a single unpredictability parameter and a speaker parameter, suggesting that these speakers do indeed differ in their preferences.

Finally, we investigate the generalizability of the meaning predictability effect beyond the individual speakers in this dataset by comparing, for each dataset, (i) a mixed-effects model with by-speaker random slopes AND a fixed effect of meaning predictability, and (ii) the same model without this fixed effect (Section 7.4) using the `lme4` package in R (Bates et al., 2012). The complete set of  $p$ -values over all ten replicates for the fixed effect of meaning predictability was: 0.06, 0.07, 0.03, 0.03, 0.03, 0.07, 0.03, 0.03, 0.03, 0.03.

C. APPENDIX C: COMPLETE CONTROL FACTOR MODEL. [INSERT TABLE 7 ABOUT  
HERE]

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. NOTES.

<sup>1</sup>Following this definition, we employ CODESWITCHING as a blanket term for switches both within and between utterances. Although CODEMIXING is sometimes used for intrasentential switching, consensus is not widespread on the term's precise meaning and the theoretical distinctions it may make (see discussion in Matras, 2009). Therefore, we simply refer to all of these phenomena as CODESWITCHING.

<sup>2</sup>Another syntactic class of models of codeswitching specifies grammatical constraints on the POSSIBILITY of switching (e.g. Joshi, 1982; Myers-Scotton, 2002; Poplack, 1980). We do not discuss these models in detail, since our investigation concerns motivations for switching GIVEN THAT IT IS GRAMMATICALLY POSSIBLE. However, because even these grammatical accounts stipulate some exceptions, a probabilistic implementation would be a natural future extension to these models.

<sup>3</sup>Of course, it is theoretically possible that the individual variables tested here each have their own effect on codeswitching behavior, rather than truly reflecting broader phenomena such as accessibility.

<sup>4</sup>We also computed a difference score by subtracting each word's Czech frequency from its English translation equivalent's frequency, but using this as our frequency metric did not change any qualitative results of the logistic regression in Section 7.

<sup>5</sup>We thank Stefan Gries for suggesting this metric.

<sup>6</sup>Substituting pointwise mutual information for the  $\Delta P$  measures did not change the qualitative results of our analysis.

<sup>7</sup>It was theoretically possible that a critical final word and its translation-equivalent would correspond to different syntactic governors. However, probably due in large part to the fact that the translation equivalents were determined by presenting the original speakers with the original (and thus relatively constraining) speech strings leading up to the potential codeswitches, this mismatch was never observed.

<sup>8</sup>An alternative method would be, in these cases, to set dependency distance to the mean of dependency distance in other cases. This would increase orthogonality to the variables but would not affect correlation (which is already zero), and it would come at the cost of transparency of model interpretation, because it would change the meaning of the intercept term. Fitting the model with this alternative parameterization did not change any qualitative results.

<sup>9</sup>Following Manin (2006), we selected this metric since there is no straightforward way to

compute surprisal (Section 3.4) for items for which no correct guess was ever submitted.

<sup>10</sup>To ensure that none of our modeling results depend crucially on our decision to impute missing values for imageability and concreteness, we also fit a version of the model discussed in this section omitting these two factors completely; no qualitative results relating to the remaining factors changed.

<sup>11</sup>Another potential source of idiosyncratic variability is at the ITEM level: in our case, certain meanings may have different codeswitching behaviors. Jaeger (2006, 2010) addressed by-item variability in corpus studies using random by-item slopes. In our case, such models on our full dataset failed to converge, but a model which was fit only to the subset of our data containing items (meanings) that occurred exactly once (i.e., a dataset in which item variability is not a concern since observations are independent from each other at the item level) yielded the same qualitative results as models fit to the full dataset. This partial dataset included 488 of the 725 total critical utterances in the full dataset, and in the resulting model, the significant control factors as well as the fixed effect of meaning unpredictability remained significant according to likelihood ratio tests ( $\chi^2_{\Delta(\Lambda)}(1) = 4.89, p = 0.03$  for meaning unpredictability).

<sup>12</sup>An alternative method pursued by Jaeger (2006, 2010) presents bootstrapping with random replacement of speaker clusters to adjust for anti-conservativity with regard to speaker intercepts and slopes for all predictors.

<sup>13</sup>In our case it is important not to use the Wald  $z$  statistic often used to assess statistical significance in generalized mixed effects models. The  $z$  statistic is computed conditional on a point estimate of the random effects covariance matrix, without taking into account the uncertainty in the true value of this matrix (Baayen et al., 2008, p. 396). Because our data are categorical and we have a small number of speakers, this uncertainty is considerable and would lead to anti-conservative inference; the likelihood ratio test is not susceptible in the same way.

<sup>14</sup>Adding both the fixed effect and by-speaker slopes SIMULTANEOUSLY also resulted in a significantly more explanatory model than one with no effects of unpredictability and by-speaker random intercepts:  $\chi^2_{\Delta(\Lambda)}(1) = 39.22, p < 0.0001$  in the final iteration, and  $p < 0.0001$  in all ten iterations)

<sup>15</sup>We thank an anonymous reviewer for pointing this possibility out to us.

<sup>16</sup>The entire routine described in this appendix was also performed with the Akaike information criterion, with no qualitative change in results for the relationship between meaning

predictability and codeswitching.



Monolingual		Mixed-language		
Cze	Eng	Cze→Eng	Eng→Cze	Multiple switches
1668	796	601 (494)	24 (13)	112

Table 1. Intonation units comprising the corpus. Quantities in parentheses are the subsets of the relevant IU type that are characterized by single, final-word codeswitches.

Item type	Description	$N$ ( $N_{\text{Spkr1}}$ )	Example
SWITCH	Czech IU with final single-word switch to English	253 (127)	A potřebuje <b>entertainment</b> . CONJ need.3SG 'And she needs <b>entertainment</b> .'
NON-SWITCH	Monolingual Czech IU	472 (197)	Ona se na tebe bude lepit. 3SG.F REFL on 2SG FUT cling 'She will cling to you.'

Table 2. Items for analysis. Total numbers of each item type are provided, as well as (in parentheses) the subset of these attributed to Speaker 1.

Predictor	Description	Distribution
<b><i>Social distance/affiliation</i></b>		
PARTICIPANT CONSTELLATION	Younger participants present?	YES: 79%, NO: 21%
<b><i>Baseline accessibility</i></b>		
FREQUENCY	Log English-to-Czech freq. ratio	$mean = 0.68, sd = 1.8, range = [-6.22, 7.60]$
LENGTH	Syllables: English minus Czech	$mean = -0.61, sd = 0.96, range = [-3, 4]$
IMAGEABILITY	Norming database ratings	$mean = 451, sd = 127, range = [183, 668]$
CONCRETENESS	Norming database ratings	$mean = 421, sd = 130, range = [143, 680]$
PART OF SPEECH	Noun, verb, or other	NOUN: 42%, VERB: 34%, OTHER: 24%
<b><i>Lexical context</i></b>		
TRIGGER	Trigger word preceding?	IMMEDIATELY: 1%, IN CLAUSE: 4%, NONE: 95%
LEXICAL COHESION	Word's previous mention	ENGLISH: 15%, CZECH: 18%, NONE: 67%
<b><i>Syntactic context</i></b>		
RIGHTWARD COLLOCATION	Rightward $\Delta P$ with prev. word	$mean = 0.03, sd = 0.10, range = [-0.03, 0.99]$
MAXIMUM COLLOCATION	Left/right max $\Delta P$ with prev. word	$mean = 0.03, sd = 0.11, range = [-0.02, 0.99]$
DEPENDENCY DISTANCE	Distance in words to governor	$mean = 1.51, sd = 0.81, range = [0, 6]$
SYNTACTIC GOVERNOR	Word is its own governor	YES: 20%, NO: 80%
<b><i>Information content</i></b>		
MEANING UNPREDICTABILITY	1 - (proportion of correct guessers)	$mean = 0.64, sd = 0.36, range = [0, 1]$

Table 3. Predictors in the logistic regression. For continuous variables, mean, standard deviation, and range are reported, and for categorical predictors, proportions of each level are reported (prior to centering and standardizing). Imageability and concreteness include values from the final iteration of imputation (see Section 7.1 and Appendix B).

	<i>N</i>	Mean age (sd)	Mean English acquisition age (sd)
Speakers (of critical items; Sec. 5.2)	2	58 (3.5)	31 (3.5)
Guessers	11	45 (14)	30 (9)

Table 4. Demographics of participants in the guessing game experiment.

Predictor	Parameter estimates		Wald's test		Likelihood ratio test	
	Coef. $\beta$	SE( $\beta$ )	Z	$p_z$	$\chi^2$	$p$
PARTICIPANT CONSTELLATION= <i>older speakers only</i>	-1.06	0.27	-4.0	<0.0001	16.9	<0.0001
LENGTH	-0.76	0.21	-3.6	<0.01	13.5	<0.01
CONCRETENESS	0.90	0.26	3.5	<0.001	6.0	<0.05
PART OF SPEECH= <i>verb</i>	-2.30	0.34	-6.8	<0.0001	109.9	<0.0001
= <i>noun</i>	0.53	0.26	2.0	<0.05		
LEXICAL COHESION= <i>prev. English</i>	0.92	0.28	3.3	<0.001	28.9	<0.0001
= <i>prev. Czech</i>	-1.12	0.28	-3.2	<0.01		
LENGTH : SYNTACTIC GOVERNOR	2.23	0.82	2.7	<0.01	7.7	<0.01
PARTICIPANT CONSTELLATION= <i>older speakers only</i> :CONCRETENESS	-1.47	0.47	-3.1	<0.01	9.5	<0.01
UNPREDICTABILITY : SPEAKER= <i>Speaker.1</i>	0.61	0.33	1.9	0.06	45.7	<0.0001
= <i>Speaker.2</i>	1.76	0.30	5.8	<0.0001		
SPEAKER= <i>Speaker.1</i>	0.38	0.21	1.8	0.07	12.3	<0.05

Table 5. Result summary for the final model (for the last of ten iterations of the multiple imputation process): coefficient estimates  $\beta$ , standard errors SE( $\beta$ ), Wald's  $z$ -score, significance level, contribution to likelihood  $\chi^2$ , and significance level. The response variable was coded as Czech/non-switch=0 and English/switch=1. Predictors were centered and standardized so that numeric variables had a mean of 0 and a standard deviation of 0.5, and categorical variables had a mean of 0 and a difference of 1 between levels. Baselines are PARTICIPANT CONSTELLATION=*all participants*, PART OF SPEECH=*other*, LEXICAL COHESION=*no prev. mention*, and SPEAKER=*Speaker.2*.

<b>Model</b>	<b>Random effect</b>	<b>Variance</b>	<b>Std. deviation</b>	<b>Correlation</b>
With fixed effect of unpredictability	(Intercept)	0.30	0.55	
	UNPREDICTABILITY	0.53	0.73	-0.61
Without fixed effect of unpredictability	(Intercept)	0.79	0.89	
	UNPREDICTABILITY	0.73	0.86	-0.79

Table 6. Random speaker effects results for two versions of the model in the final iteration of the modeling routine: one with a fixed effect of unpredictability, and one without (see Section 7.4).

Predictor	Parameter estimates		Wald's test		Likelihood ratio test	
	Coef. $\beta$	SE( $\beta$ )	Z	$p_z$	$\chi^2$	$p$
PARTICIPANT CONSTELLATION= <i>older.speakers.only</i>	-0.99	0.27	-3.6	<0.001	14.5	<0.001
FREQUENCY	0.02	0.19	0.09	0.93	0.1	0.93
LENGTH	-0.69	0.21	-3.3	<0.001	11.5	<0.001
IMAGEABILITY	-0.01	0.48	-0.03	0.98	0.0	0.98
CONCRETENESS	0.58	0.49	1.19	0.23	4.5	0.11
PART OF SPEECH= <i>verb</i>	-1.57	0.37	-4.17	<0.0001		
= <i>noun</i>	0.62	0.26	2.45	<0.05	45.7	<0.0001
TRIGGER= <i>immediate</i>	-0.95	1.16	-0.82	0.41		
= <i>in.clause</i>	0.48	0.47	1.02	0.31	1.87	0.39
LEXICAL COHESION= <i>prev.English</i>	0.67	0.26	2.57	<0.05		
= <i>prev.Czech</i>	-1.28	0.34	-3.72	<0.001	26.95	<0.0001
RIGHTWARD COLLOCATION	1.74	2.26	0.77	0.44	2.11	0.15
MAXIMUM COLLOCATION	-1.98	2.59	-0.76	0.44	2.33	0.13
DEPENDENCY DISTANCE	-0.33	0.25	-1.3	0.19	1.78	0.18
SYNTACTIC GOVERNOR	-2.10	0.81	-3.6	<0.01	7.69	<0.01
LENGTH : SYNTACTIC GOVERNOR	3.13	1.21	2.6	<0.01	3.88	<0.05
PARTICIPANT CONSTELLATION= <i>older.speakers.only</i> :CONCRETENESS	-0.95	0.47	-1.99	<0.05	9.5	<0.01
SPEAKER= <i>Speaker.1</i>	0.36	0.20	1.8	0.07	3.2	0.07

Table 7. Result summary for a model including all base control factors and the two interactions selected during the model selection process (for the last of ten iterations of the multiple imputation process): coefficient estimates  $\beta$ , standard errors SE( $\beta$ ), Wald's  $z$ -score, significance level, contribution to likelihood  $\chi^2$ , and significance level. The response variable was coded as Czech/non-switch=0 and English/switch=1. Predictors were centered and standardized so that numeric variables had a mean of 0 and a standard deviation of 0.5, and categorical variables had a mean of 0 and a difference of 1 between levels. Baselines are PARTICIPANT CONSTELLATION=*all.participants*, PART OF SPEECH=*other*, TRIGGER=*none*, LEXICAL COHESION=*no.prev.mention*, and SPEAKER=*Speaker.2*.

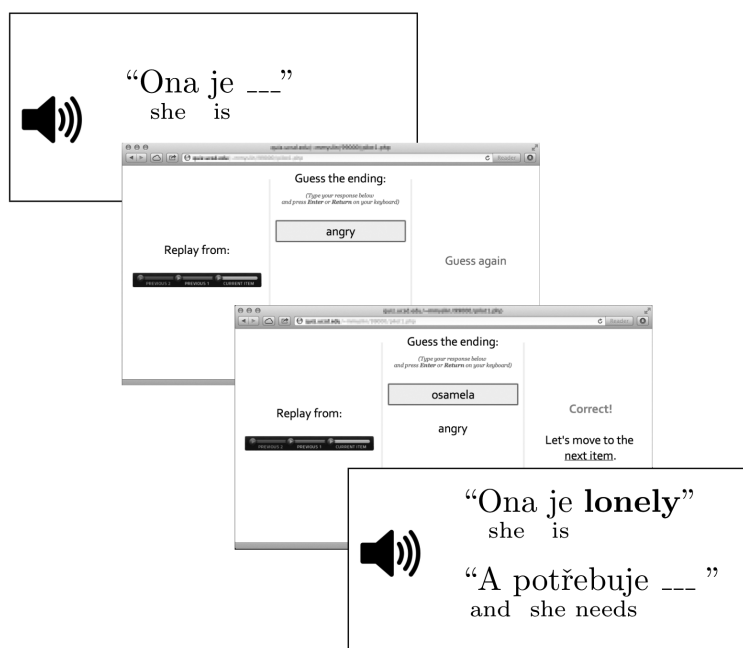


Figure 1. Example of guessing game procedure: (i) audio prompt with final word removed, (ii) incorrect guess, (iii) correct guess, and (iv) repetition of audio prompt with missing word now intact and continuation to next missing word.



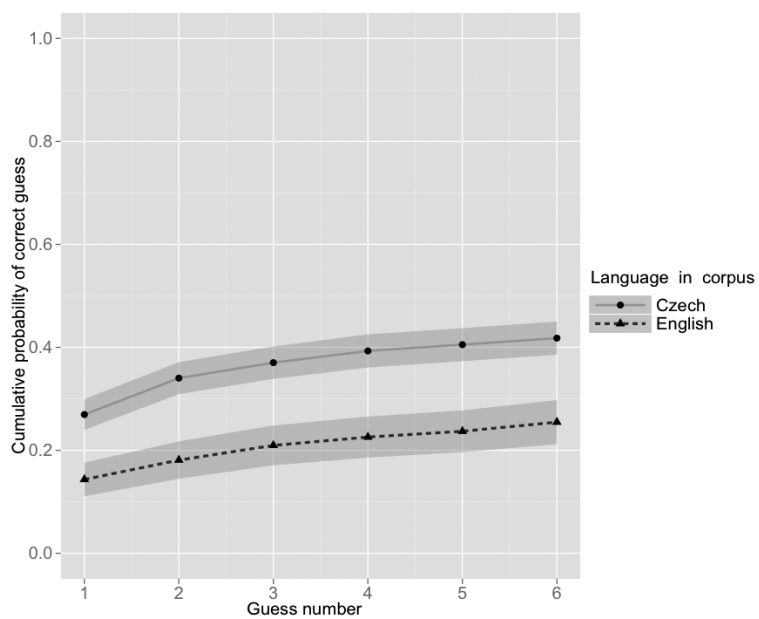


Figure 2. Cumulative probability of correct guess (as proportion of participants correctly guessing item) by guess number, conditionalized on original language of mention of each item.

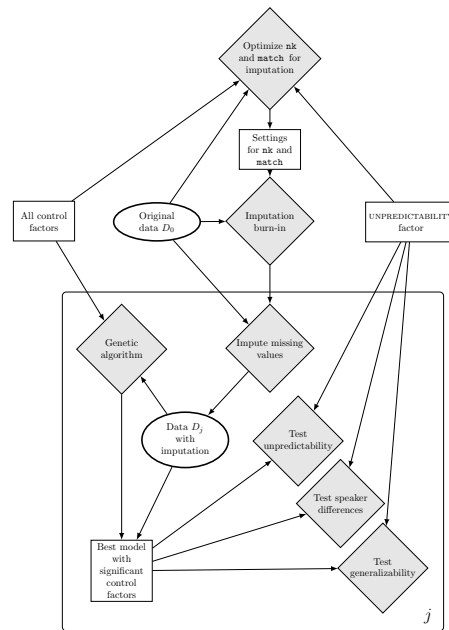


Figure 3. Modeling workflow. Procedures within the  $j$  plate are repeated 10 times.